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MAY 15 2003
PATENT & TRADEMARK OFFICE

Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, James

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

105
105
25
10-1703

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

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CERTIFICATION

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- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Adjustment date: 03/05/2004 SDIRETA1
11/03/2003 PBRITTON 00000004 150030 09716949
01 FC:1806 180.00 CR



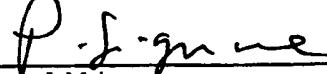
22850

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Fax. (703) 413-2220
(OSMMN 05/03)

GJM:PJCS:tdm
I:\ATTY\PJCS\200097\IDS TRANSMITTAL.DOC

Respectfully submitted,

OBOLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.


Gregory J. Maier

Attorney of Record

Registration No. 24,913

Philippe J.C. Signore, Ph.D.

Registration No. 43,922

11/03/2003 PBRITTON 00000004 150030 09716949
01 FC:1806 180.00 DA

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MAY 16 2003

Docket No. 200097US2

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

FILED: November 22, 2000

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

GAU: 2872

EXAMINER: PHAN, J.

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

SIR:
Applicant(s) wish to disclose the following information.

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Respectfully submitted,

**OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.**

Joseph A. Scafetta, Jr.
Gregory J. Maier
Registration No. 25,599
Joseph A. Scafetta, Jr.
Registration No. 26,803

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(OSMMN 03/02)

Adjustment date: 03/05/2004 SDIRETAA1
11/03/2003 PERMITTOM 00000003 150030 09716949
01 FC:166 160.00 CR



December 4, 2003

UNITED STATES PATENT AND TRADEMARK OFFICE
Box 16
Washington, DC 20231

Attn: Frank Lebron
Refund Department

ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER
CONTROLLER
(703) 412-6494
KMORSBERGER@OBLON.COM

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our Deposit Account Statement of November, 2003. Please review the two highlighted charges on **Serial Number 09/716,949 in the amounts of \$180.00 each on fee code #1806.**

A **CERTIFIED** Information Disclosure Statement was filed on May 15, 2003. Since this application was not yet under final rejection at that time, **no fee is required as set forth in 37 CFR 1.97**. An IDS was also filed on September 22, 2003. **The applications listed were to be made of record and were NOT to be considered by the Examiner. Therefore, the \$180.00 should not have been deducted from our account and in fact the PTO WAS NOT AUTHORIZED TO DO SO** (deposit account statement disclaimer on IDS cover sheet was not checked).

Please investigate these charges and kindly refund **\$360.00** to Deposit Account **#150030.**

Copies of the appropriate paperwork are attached. If you have questions, please do not hesitate to contact me. My phone number is 703-412-6296. Thank you for your assistance.

Sincerely,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

A handwritten signature in black ink that reads 'Debra J. Noel'.

Debra J. Noel
Accounting Department

Enclosures



**United States
Patent and
Trademark Office**

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Deposit Account Statement

Requested Statement Month:

November 2003

Deposit Account Number:

150030

Name:

NORMAN F. OBLON

Attention:

Address:

1940 DUKE STREET

City:

ALEXANDRIA

State:

VA

Zip:

22314

DATE	SEQ	POSTING REF	ATTORNEY DOCKET NBR	FEE CODE	AMT	BA
11/03	1	10398202	236232US0PCT	1617	-\$130.00	\$28
11/03	1	10015634	217391US2	1806	\$180.00	\$28
11/03	4	09716949	200097US2	1806	\$180.00	\$28
11/03	5	09716949	200097US2	1806	\$180.00	\$28
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11/03	14	10072816	7742-24	1806	\$180.00	\$28
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11/04	2	10172910	224503US3	1806	\$180.00	\$28
11/04	45	09782315	203226US0DIV	1806	-\$180.00	\$28
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11/04	103	09764370	244189US25INT	8013	\$25.00	\$28
11/04	341	10432262	236629US90PCT	1617	\$130.00	\$28

OSMM&N File No. 200097US2

Serial No. 09/716,949

In the matter of the Application of: Hiromichi ATSUUMI, et al.

For: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE
COMPENSATION UNIT

Dept.: E/M

By: GJM/PJCS/tdm

Due Date: May 28, 2003

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Dep. Acct. Order Form
- IDS/Related/List of Related Cases
- Cited Pending Applications



Docket No. 200097US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Hiromichi ATSUUMI, et al.

SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: PHAN, James

FOR: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE COMPENSATION UNIT

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

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Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



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(OSMMN 05/03)

Gregory J. Maier
Attorney of Record
Registration No. 24,913
Philippe J.C. Signore, Ph.D.
Registration No. 43,922

GJM:PJCS:tdm
I:\ATTY\PJCS\200097\IDS TRANSMITTAL.DOC

OSMM&N File No. 200097US2

Dept.: E/M

Serial No. 09/716,949

By: GJM/PJS/mlm

In the matter of the Application of: Hiromichi ATSUUMI, et al.

For: OPTICAL SCANNING DEVICE HAVING A TEMPERATURE
COMPENSATION UNIT

Due Date: 9/21/03

The following has been received in the U.S. Patent Office on the date stamped hereon:

- Check for \$110.00
- Amendment Cover Sheet
- Amendment
- Petition for Extension of Time 1 month
- Dep. Acct. Order Form
- IDS/Related/List of Related Cases
- Cited Pending Applications 1

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SERIAL NO: 09/716,949

GAU: 2872

FILED: November 22, 2000

EXAMINER: James Phan

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Customer Number

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Registration No. 43,922

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